

**FEC
FORM 3X****REPORT OF RECEIPTS
AND DISBURSEMENTS**
For Other Than An Authorized Committee

Office Use Only

1. NAME OF COMMITTEE (in full) TYPE OR PRINT ▼ Example: If typing, type over the lines.

12FE4M5

Waddell & Reed Financial, Inc. Political Action Committee

ADDRESS (number and street) ▼

6300 Lamar Ave

☐ Check if different than previously reported. (ACC)

Overland Park

KS

66202

2. FEC IDENTIFICATION NUMBER ▼

CITY ▲

STATE ▲

ZIP CODE ▲

C C00340745

3. IS THIS REPORT

☐

NEW (N)

OR

☒

AMENDED (A)

4. TYPE OF REPORT

(Choose One)

(a) Quarterly Reports:

☐ April 15 Quarterly Report (Q1)☐ July 15 Quarterly Report (Q2)☐ October 15 Quarterly Report (Q3)☒ January 31 Year-End Report (YE)☐ July 31 Mid-Year Report (Non-election Year Only) (MY)☐ Termination Report (TER)

(b) Monthly Report Due On:

☐ Feb 20 (M2)☐ May 20 (M5)☐ Aug 20 (M8)☐ Nov 20 (M11) (Non-Election Year Only)☐ Mar 20 (M3)☐ Jun 20 (M6)☐ Sep 20 (M9)☐ Dec 20 (M12) (Non-Election Year Only)☐ Apr 20 (M4)☐ Jul 20 (M7)☐ Oct 20 (M10)☐ Jan 31 (YE)

(c) 12-Day

PRE-Election

Report for the:

☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

(d) 30-Day

POST-Election

Report for the:

☐

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

M M M / D D D / Y Y Y Y Y Y

in the State of

5. Covering Period

M M M / D D D / Y Y Y Y Y Y

07

01

2013

through

M M M / D D D / Y Y Y Y Y Y

12

31

2013

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer Daniel P. Connealy

Signature of Treasurer

Daniel P. Connealy

[Electronically Filed]

Date

M M M / D D D / Y Y Y Y Y Y

04

03

2014

NOTE: Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C. §437g.

Office
Use
Only**FEC FORM 3X**
Rev. 12/2004

SUMMARY PAGE OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

Page 2

Write or Type Committee Name

Waddell & Reed Financial, Inc. Political Action Committee

Report Covering the Period: From: M M / D D / Y Y Y Y Y Y
07 / 01 / 2013 To: M M / D D / Y Y Y Y Y Y
12 / 31 / 2013

	COLUMN A This Period	COLUMN B Calendar Year-to-Date
6. (a) Cash on Hand January 1, Y Y Y Y Y Y 2013		94322.57
(b) Cash on Hand at Beginning of Reporting Period.....	92086.40	
(c) Total Receipts (from Line 19)	15578.39	31597.36
(d) Subtotal (add Lines 6(b) and 6(c) for Column A and Lines 6(a) and 6(c) for Column B).....	107664.79	125919.93
7. Total Disbursements (from Line 31)	14304.18	32559.32
8. Cash on Hand at Close of Reporting Period (subtract Line 7 from Line 6(d))	93360.61	93360.61
9. Debts and Obligations Owed TO the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	
10. Debts and Obligations Owed BY the Committee (Itemize all on Schedule C and/or Schedule D)	0.00	

☒ This committee has qualified as a multicandidate committee. (see FEC FORM 1M)

For further information contact:

Federal Election Commission
999 E Street, NW
Washington, DC 20463

Toll Free 800-424-9530
Local 202-694-1100

DETAILED SUMMARY PAGE of Receipts

FEC Form 3X (Rev. 06/2004)

Page 3

Write or Type Committee Name

Waddell & Reed Financial, Inc. Political Action Committee

Report Covering the Period:

From:

M M / D D / Y Y Y Y Y
07 01 2013

To:

M M / D D / Y Y Y Y Y
12 31 2013
I. Receipts
COLUMN A
Total This Period
COLUMN B
Calendar Year-to-Date

11. Contributions (other than loans) From:

(a) Individuals/Persons Other

Than Political Committees

(i) Itemized (use Schedule A).....

15318.59

30679.91

(ii) Unitemized

259.80

917.45

(iii) TOTAL (add

Lines 11(a)(i) and (ii)..... ►

15578.39

31597.36

(b) Political Party Committees

0.00

0.00

(c) Other Political Committees

(such as PACs).....

0.00

0.00

(d) Total Contributions (add Lines

11(a)(iii), (b), and (c)) (Carry

Totals to Line 33, page 5) ►

15578.39

31597.36

12. Transfers From Affiliated/Other

Party Committees.....

0.00

0.00

13. All Loans Received

0.00

0.00

14. Loan Repayments Received.....

0.00

0.00

15. Offsets To Operating Expenditures

(Refunds, Rebates, etc.)

(Carry Totals to Line 37, page 5).....

0.00

0.00

16. Refunds of Contributions Made

to Federal Candidates and Other

Political Committees.....

0.00

0.00

17. Other Federal Receipts

(Dividends, Interest, etc.).....

0.00

0.00

18. Transfers from Non-Federal and Levin Funds

(a) Non-Federal Account

(from Schedule H3).....

0.00

0.00

(b) Levin Funds (from Schedule H5)

0.00

0.00

(c) Total Transfers (add 18(a) and 18(b))..

0.00

0.00

19. Total Receipts (add Lines 11(d),
12, 13, 14, 15, 16, 17, and 18(c))..... ►

15578.39

31597.36

20. Total Federal Receipts

(subtract Line 18(c) from Line 19) ►

15578.39

31597.36

DETAILED SUMMARY PAGE of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 4

II. Disbursements	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
21. Operating Expenditures:		
(a) Allocated Federal/Non-Federal Activity (from Schedule H4)		
(i) Federal Share	0.00	0.00
(ii) Non-Federal Share.....	0.00	0.00
(b) Other Federal Operating Expenditures	304.18	559.32
(c) Total Operating Expenditures (add 21(a)(i), (a)(ii), and (b))	304.18	559.32
22. Transfers to Affiliated/Other Party Committees.....	0.00	0.00
23. Contributions to Federal Candidates/Committees and Other Political Committees.....	14000.00	32000.00
24. Independent Expenditures (use Schedule E)	0.00	0.00
25. Coordinated Party Expenditures (2 U.S.C. §441a(d)) (use Schedule F).....	0.00	0.00
26. Loan Repayments Made.....	0.00	0.00
27. Loans Made.....	0.00	0.00
28. Refunds of Contributions To:		
(a) Individuals/Persons Other Than Political Committees	0.00	0.00
(b) Political Party Committees	0.00	0.00
(c) Other Political Committees (such as PACs).....	0.00	0.00
(d) Total Contribution Refunds (add Lines 28(a), (b), and (c)).....	0.00	0.00
29. Other Disbursements	0.00	0.00
30. Federal Election Activity (2 U.S.C. §431(20))		
(a) Allocated Federal Election Activity (from Schedule H6)		
(i) Federal Share	0.00	0.00
(ii) "Levin" Share.....	0.00	0.00
(b) Federal Election Activity Paid Entirely With Federal Funds	0.00	0.00
(c) Total Federal Election Activity (add .. Lines 30(a)(i), 30(a)(ii) and 30(b))....	0.00	0.00
31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c)) ..	14304.18	32559.32
32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31).....	14304.18	32559.32

DETAILED SUMMARY PAGE
of Disbursements

FEC Form 3X (Rev. 02/2003)

Page 5

III. Net Contributions/Operating Expenditures	COLUMN A Total This Period	COLUMN B Calendar Year-to-Date
33. Total Contributions (other than loans) (from Line 11(d), page 3)	15578.39	31597.36
34. Total Contribution Refunds (from Line 28(d))	0.00	0.00
35. Net Contributions (other than loans) (subtract Line 34 from Line 33)	15578.39	31597.36
36. Total Federal Operating Expenditures (add Line 21(a)(i) and Line 21(b)) ►	304.18	559.32
37. Offsets to Operating Expenditures (from Line 15, page 3).....	0.00	0.00
38. Net Operating Expenditures (subtract Line 37 from Line 36) ►	304.18	559.32

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Jeffery D. Albright

Mailing Address 6300 Lamar Ave.

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt.

Occupation

VP Equity Trading

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6475

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Salvatore J. Amato

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ivy Funds Distributor, Inc.

Occupation

Regional Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6490

Amount of Each Receipt this Period

375.00

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Michael L. Avery

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt

Occupation

Chief Investment Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

4999.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6469

Amount of Each Receipt this Period

2499.96

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

3124.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stephen Barnes

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

Managing Principal

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6453

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Erik R. Becker

Mailing Address 6300 Lamar Ave.

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt.

Occupation

VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6476

Amount of Each Receipt this Period

499.92

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Mike Bentz

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

RVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1132.31

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6454

Amount of Each Receipt this Period

382.31

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

1132.19

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER:
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brent Bloss

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

Assistant Treasurer

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6470

Amount of Each Receipt this Period

300.00

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Christopher J Bradley

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

W&R, Ivy Funds Dist., Inc.

Occupation

RVP

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6489

Amount of Each Receipt this Period

375.00

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Daniel P. Connealy

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Financial, Inc

Occupation

Chief Financial Officer

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

3000.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6471

Amount of Each Receipt this Period

1500.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

2175.00

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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Detailed Summary Page

FOR LINE NUMBER: PAGE 9 OF 19

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. William J Donohue

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ivy Funds

Occupation

Regional Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6485

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Patricia A. Fleming

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc.

Occupation

Division Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

300.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6455

Amount of Each Receipt this Period

150.00

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Kenneth G. Gau

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt.

Occupation

Equity Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6474

Amount of Each Receipt this Period

249.96

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

649.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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FOR LINE NUMBER:
(check only one)

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Gina Goldstein

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

AVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

600.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6460

Amount of Each Receipt this Period

300.00

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Chad Gunther

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

AVP & Asst. Portfolio Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6479

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Zhanpeng Jiang

Mailing Address 6300 Lamar Ave

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment mgt.

Occupation

SVP, Portfolio manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

999.84

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6483

Amount of Each Receipt this Period

499.92

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1049.88

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Brad Klappmeyer

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

AVP, Investment Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6481

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Craig J. Kurth

Mailing Address 6300 Lamar Ave

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc

Occupation

Regional Vice President

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6456

Amount of Each Receipt this Period

375.00

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Nick Langley

Mailing Address 6300 Lamar

City State Zip Code
 Overland Park KS 66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

W&R

Occupation

VP National Accounts

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
 12 31 2013

Transaction ID : SA11AI.6486

Amount of Each Receipt this Period

375.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

999.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
Detailed Summary Page

FOR LINE NUMBER:
(check only one)

PAGE 12 OF 19

☒ 11a ☐ 11b ☐ 11c ☐ 12
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. James Latham

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc.

Occupation

Division Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6457

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Adam Liebentritt

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ivy Funds

Occupation

Regional Sales Manager

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6487

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. John C. Maxwell, III

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt

Occupation

VP Analyst

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

576.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6477

Amount of Each Receipt this Period

288.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

787.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Renae L. McDonald

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

W&R Corp., LLC

Occupation

Director of Compensation Acctg

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

480.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6466

Amount of Each Receipt this Period

240.00

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Andrew P. Mohn

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc

Occupation

VP Marketing

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6462

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Robert Nightingale

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt

Occupation

AVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6484

Amount of Each Receipt this Period

375.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

864.96

TOTAL This Period (last page this line number only)..... ►

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Matthew K. Reeves

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

VP - Financial Planning

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

750.00

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6459

Amount of Each Receipt this Period

375.00

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Philip J. Sanders

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Investment Mgt

Occupation

SVP, Portfolio Manager

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6478

Amount of Each Receipt this Period

999.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Debra K. Schmidt

Mailing Address 6300 Lamar Ave.

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc.

Occupation

VP

Receipt For:

☐ Primary
☐ Other (specify) ▼

☐ General

Aggregate Year-to-Date ▼

768.00

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6461

Amount of Each Receipt this Period

384.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1758.96

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Stuart L Slack

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Ivy Funds Distributor

Occupation

RVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

499.92

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6488

Amount of Each Receipt this Period

249.96

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Beverly Smith

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

Director, Client Svcs

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

349.92

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6463

Amount of Each Receipt this Period

174.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Scott Stapel

Mailing Address 6300 Lamar Ave.

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc.

Occupation

RVP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

12 / 31 / 2013

Transaction ID : SA11AI.6458

Amount of Each Receipt this Period

375.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

799.92

SCHEDULE A (FEC Form 3X) **ITEMIZED RECEIPTS**

Use separate schedule(s)
for each category of the
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. Richard S. Starks, III

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed Services Co

Occupation

SVP, Shareholder Services

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1200.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6464

Amount of Each Receipt this Period

600.00

Payroll deduction

Full Name (Last, First, Middle Initial)

B. Michael D. Strohm

Mailing Address 6300 Lamar Ave

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed, Inc.

Occupation

Chief Executive Officer

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

1999.92

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6468

Amount of Each Receipt this Period

999.96

Payroll deduction

Full Name (Last, First, Middle Initial)

C. Brian T. Sullivan

Mailing Address 6300 Lamar

City

Overland Park

State

KS

Zip Code

66202

FEC ID number of contributing
federal political committee.

C

Name of Employer

Waddell & Reed

Occupation

VP

Receipt For:

☐ Primary ☐ General
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y Y
12 / 31 / 2013

Transaction ID : SA11AI.6482

Amount of Each Receipt this Period

375.00

Payroll deduction

SUBTOTAL of Receipts This Page (optional)..... ►

TOTAL This Period (last page this line number only)..... ►

1974.96

15318.59

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. UMB Bank n.a. Kansas City

Mailing Address 1010 Grand Blvd.

City Kansas City State MO Zip Code 64106

Purpose of Disbursement
Bank fees

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y
12 / 31 / 2013
Transaction ID : SB21B.6509

Amount of Each Disbursement this Period

304.18

B.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

C.

Full Name (Last, First, Middle Initial)

Mailing Address

City State Zip Code

Purpose of Disbursement

Candidate Name

Office Sought: ☐ House
☐ Senate
☐ President

State: District:

Disbursement For:
☐ Primary ☐ General
☐ Other (specify) ▼

Date of Disbursement

M M / D D / Y Y Y Y Y Y

Amount of Each Disbursement this Period

SUBTOTAL of Disbursements This Page (optional)..... ►**TOTAL** This Period (last page this line number only)..... ►

304.18

304.18

**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
Detailed Summary PageFOR LINE NUMBER:
(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. CROWLEY FOR CONGRESS

Mailing Address 84-56 GRAND AVENUE

City	State	Zip Code
ELMHURST	NY	11373

Purpose of Disbursement

Candidate Name

JOSEPH CROWLEY

Office Sought:	<input checked="" type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: NY District: 14

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
08		13		2013

Transaction ID : SB23.6499

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

B. HAGAN FOR US SENATE INC

Mailing Address PO BOX 29103

City	State	Zip Code
GREENSBORO	NC	27429

Purpose of Disbursement

Candidate Name

KAY R HAGAN

Office Sought:	<input type="checkbox"/> House
	<input checked="" type="checkbox"/> Senate
	<input type="checkbox"/> President

State: NC District: 00

Disbursement For: 2014

<input checked="" type="checkbox"/> Primary	<input type="checkbox"/> General
<input type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
07		13		2013

Transaction ID : SB23.6493

Amount of Each Disbursement this Period

1500.00

Full Name (Last, First, Middle Initial)

C. INVESTMENT COMPANY INSTITUTE POLITICAL ACTION COMMITTEE (ICI PAC)

Mailing Address 1401 H STREET NW SUITE 1200

City	State	Zip Code
WASHINGTON	DC	20005

Purpose of Disbursement

Candidate Name

Office Sought:	<input type="checkbox"/> House
	<input type="checkbox"/> Senate
	<input type="checkbox"/> President

State: District:

Disbursement For: 2013

<input type="checkbox"/> Primary	<input type="checkbox"/> General
<input checked="" type="checkbox"/> Other (specify) ▼	

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y
12		13		2013

Transaction ID : SB23.6507

Amount of Each Disbursement this Period

2000.00

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

5000.00

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**SCHEDULE B (FEC Form 3X)
ITEMIZED DISBURSEMENTS**Use separate schedule(s)
for each category of the
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(check only one)

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<input type="checkbox"/> 21b	<input type="checkbox"/> 22	<input checked="" type="checkbox"/> 23	<input type="checkbox"/> 24	<input type="checkbox"/> 25	<input type="checkbox"/> 26
<input type="checkbox"/> 27	<input type="checkbox"/> 28a	<input type="checkbox"/> 28b	<input type="checkbox"/> 28c	<input type="checkbox"/> 29	<input type="checkbox"/> 30b

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NAME OF COMMITTEE (In Full)

Waddell & Reed Financial, Inc. Political Action Committee

Full Name (Last, First, Middle Initial)

A. MCCONNELL SENATE COMMITTEE '14

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
09		25		2013

Mailing Address PO BOX 1496

City	State	Zip Code
LOUISVILLE	KY	40201

Purpose of Disbursement

Transaction ID : SB23.6504

Amount of Each Disbursement this Period

1500.00

Candidate Name

MITCH MCCONNELLCategory/
Type

Office Sought:

☐

House

☒

Senate

☐

President

Disbursement For: 2014

☒

Primary

☐

General

☐

Other (specify) ▼

State: KY

District: 00

Full Name (Last, First, Middle Initial)

B. PAT ROBERTS FOR U S SENATE INC

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
07		29		2013

Mailing Address PO BOX 433

City	State	Zip Code
GREAT BEND	KS	67530

Purpose of Disbursement

Transaction ID : SB23.6496

Amount of Each Disbursement this Period

2500.00

Candidate Name

PAT ROBERTSCategory/
Type

Office Sought:

☐

House

☒

Senate

☐

President

Disbursement For: 2014

☒

Primary

☐

General

☐

Other (specify) ▼

State: KS

District: 00

Full Name (Last, First, Middle Initial)

C. YODER FOR CONGRESS

Date of Disbursement

M M M	/	D D D	/	Y Y Y Y Y Y
12		17		2013

Mailing Address PO BOX 26742

City	State	Zip Code
OVERLAND PARK	KS	66225

Purpose of Disbursement

Transaction ID : SB23.6508

Amount of Each Disbursement this Period

5000.00

Candidate Name

KEVIN W YODERCategory/
Type

Office Sought:

☒

House

☐

Senate

☐

President

Disbursement For: 2014

☐

Primary

☒

General

☐

Other (specify) ▼

State: KS

District: 03

SUBTOTAL of Disbursements This Page (optional).....▶**TOTAL** This Period (last page this line number only).....▶

9000.00

14000.00